

UTILITY PATENT APPLICATION TRANSMITTAL

Address to Commissioner for Patents
Box PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. EM/LEE/6474 55
First Named Inventor Yun-Chur CHUNG et al. Total Pages 63

| | | Transmitted herewith is a patent application under 37 CFR 1.53(b). |
|-----------|----|--|
| Entitled: | | Method And Apparatus For Monitoring Optical Signal-To-Noise Ratio (OSNR) Using Polarization-Nulling Method |
| × | 1. | Submitted herewith are the following: |
| | | 26 pages of specification, including claims and Abstract. |

- 5 sheets of FORMAL drawings (Figs. 1-7).
- 6 claims.
- 1 Oath/Declaration signed by each inventor.
- 1 signed Non-Profit Small Entity Declaration.
- 1 Assignment of the invention, Cover Sheet, and payment of the \$40.00 recordal fee.
- 1 certified copy of Korean appl no. 30869, filed June 5, 2000. Priority is claimed.
- 1 check in the amount of \$530.00 including any assignment recordal fee.
- SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
 - Insert before the first sentence of the specification: -- This application claims the benefit of
 provisional application number _______ filed _______. --
- The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 26,502; and Benjamin E. Urcia. Reg. No. 33,805.

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|--|------------------|------------|---|-----------|----------------------------|----------|
| THE FILIN | 3 FEE IS CA | Basic Fee: | \$710.00 | | | |
| Total Claims: | 6 - 20 = | | | 0 | X \$18 = | 0.00 |
| Independent Claims: | 2 | - 3 = | | 0 | X \$80 = | 0.00 |
| Correspondence Address: BACON & THOM. | AS PLIC | | Multiple Dependent Claim (add \$270 00) | | ndent Claim (add \$270 00) | 270 00 |
| 625 Slaters Lane, 4th Floor | | | | Subtotal: | | |
| Alexandria, VA 22 | 314-1176 | | 50% Reduction if Small Entity Status | | | 490.00 |
| Phone: 703-683-0500 | ax: 703-683-1080 | | | Total | 490 00 | |
| Date: Name: | | | | | Signature: | Reg. No. |
| January 24, 2001 | | Eugene Mar | | 2 | | 25,893 |